BAWANY SECURITIES (PVT) LIMITED

COMMODITY FUTURES BROKER — REGISTERED WITH PMEX

IMPORTANT COMPLIANCE & RISK ADVISORY NOTICE

This notice is issued for the information and strict compliance of all valued clients of **Bawany Securities (Pvt) Limited**. In accordance with the regulatory directives of the Securities and Exchange Commission of Pakistan (SECP) and the Pakistan Mercantile Exchange (PMEX), clients are instructed to strictly adhere to the following rules:

1. PROHIBITION OF CASH DEALINGS & DIRECT SUB-ACCOUNT (SCA) FUNDING

Clients are strictly advised **NEVER to deal in cash** or hand over cash to any employee or representative of Bawany Securities (Pvt) Ltd.

- **PMEX Sub-Account (SCA):** Every client is assigned a unique Sub-Account (SCA) number generated directly by PMEX.
- **Direct Online Funds Transfer:** All trading funds must be deposited directly into your designated PMEX trading account using your SCA number through online banking / 1Bill.
- **No Cheques in Broker's Name:** Cheques or financial instruments drawn in the name of Bawany Securities (Pvt) Ltd or any employee are strictly prohibited and not accepted.

2. STRICT BAN ON DEPOSIT-TAKING & FIXED/GUARANTEED RETURNS

Bawany Securities (Pvt) Ltd does **NOT accept deposits against fixed, guaranteed, or assured returns** under any scheme or promise.

- Promising fixed, guaranteed, or risk-free returns on commodity futures trading is strictly **ILLEGAL** under PMEX and SECP regulations.
- Any informal commitment or agreement made by any individual regarding guaranteed profits is null and void. The company shall not entertain or bear any liability for such claims.

3. PROTECTION OF TRADING CREDENTIALS (USER ID & PASSWORD)

Your PMEX Online Trading User ID, Passwords, PINs, and Two-Factor Authentication (2FA) details are strictly confidential.

- **Do NOT share your trading credentials** with any employee, broker representative, or third party.
- Employees of Bawany Securities are strictly forbidden from requesting your credentials. The company will not be responsible for any loss arising from credential sharing.

4. DIRECTLY ISSUED CONFIRMATIONS, ACTIVITY ALERTS & MARGIN CALLS

All trade confirmation alerts, daily activity statements, ledger balances, and margin call notifications are issued **directly by the Exchange (PMEX)**. Brokerage house / employees do not issue margin calls or trade alerts. Ensure you monitor all official notifications sent directly by PMEX.

5. INSTRUCTIONS FOR LODGING COMPLAINTS WITH PMEX

In case of any grievance or dispute that remains unresolved by the Broker:

- **Approach Broker First:** Clients must first lodge their complaint in writing with Bawany Securities Management.
- **Escalation to PMEX:** If the complaint is not satisfactorily resolved by the Broker, clients have the right to approach PMEX directly.
- **E-Complaint Portal:** Submit an online complaint via PMEX official portal (**www.pmex.com.pk**) or email PMEX Customer Support.
- **Required Proofs:** Attach all relevant supporting evidence, including SCA account details, trade confirmations, and written correspondence with the Broker.
- **PMEX Customer Support UAN:** (021) 111-11-PMEX (7639)

DISCLAIMER & NON-LIABILITY NOTICE

Bawany Securities (Pvt) Limited will **NOT be held responsible or liable** for any financial loss, dispute, or unauthorized transaction resulting from cash payments, off-system transactions, credential sharing, or guaranteed return promises.

Report Violation / Unauthorized Activities

If any employee or representative requests cash, asks for your trading credentials, or promises fixed returns, please report it immediately to the Management Desk at Bawany Securities (Pvt) Limited or directly to PMEX Customer Support.